Impact assessment of change in anthropogenic emissions due to lockdown on aerosol characteristics in a rural location

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Long-term and continuous measurements of aerosol concentration and optical properties from the Challakere Climate Observatory, located in a remote rural semi-arid region northwest of Bengaluru, are examined for the impact of the prolonged and phased national lockdown during the COVID-19 pandemic. The analyses revealed that the lockdown, which almost brought all the anthropogenic activities (particularly associated with fossil fuel use such as in transport and industrial sectors) to a standstill and then slowly relaxed in phases, had very little impact on the aerosol properties at this remote site, in sharp contrast to the impacts seen in the major urban conglomeration, Bengaluru, located about 230 km southeast to Challakere. Rather than impacts from anthropogenic sources associated with fossil fuel combustion, the aerosol characteristics at Challakere are strongly influenced by regional and synoptic meteorology. The findings re-emphasize that the emissions from fossil fuel combustion in industrial and automobile sector are the major source of aerosols (especially absorbing type) over urban and semi-urban environments.

Keywords: Anthropogenic emissions, black carbon, COVID-19 lockdown, rural aerosols, scattering coefficients, single scattering albedo.

Introduction

The role of emissions (anthropogenic/natural) of combustion products leading to sudden perturbation in the concentration and other properties of lower atmospheric aerosols and trace gases has been well documented\textsuperscript{1,2}. Several case studies of pollution due to forest fire, oil well fire and festivals like Diwali have shown 2 to 6 fold increase in pollution levels for a short period\textsuperscript{3-7}. Similarly, sudden cessation of human activities has also shown to impact the environment\textsuperscript{8-10}. However, almost all these remained isolated case studies, mostly based on very short-term events or a point source of perturbation. In contrast, the national lockdown (LD) associated with the COVID-19 pandemic provided a unique event, where the human activities (in industrial, transport, commercial and energy sectors) were completely shut down over the entire Indian region for a fairly long period and thereafter relaxed in a phased manner, thereby providing an opportunity to assess how the environment responds to man-made perturbations. This article reports the impacts of these on aerosol properties over a remote rural location Challakere (14.41°N, 76.56°E and 600 m amsl), located about 230 km northwest of the megacity Bengaluru, based on continuous and long-term measurements.

Lockdown phases

The COVID-19 LD, initiated on 24 March 2020, with large relaxations implemented in phases, is well publicized. A short account of the sequence of events is explained in brief.

- **LD1** (March 24 to April 14): Total shutdown in people movements ‘Stay at home approach’, complete cessation of vehicular traffic (road, rail, air and water traffic) except emergency services. Industries, commercial establishments, places for entertainment, place of worship and educational institutions remained closed. Public gatherings banned. Large reduction in energy use.
- **LD2** (April 15 to May 03): Restrictions on transport sector relaxed permitting movement of essential goods and emergency services. Industries, commercial establishments, places for entertainment, place of worship and educational institutions remained closed. Public gatherings banned. Large reduction in energy use.
- **LD3** (May 04 to May 17): Although large industries, commercial establishments, places of worship and educational institutions continued to be closed, except for small scale establishments.

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• LD4 (May 18 to May 31): More relaxation in traffic and small business establishments with night-time restrictions from 21:00 PM to 05:00 AM; large industries, commercial establishments places for public entertainment and educational institutions continued to be closed, and large gatherings continued to be restricted.

• Unlock (UL1) (June 01 to June 30): Transition from LD to unlock in a phased manner. More relaxation in road, rail and domestic air traffic. Major industries resumed with stringent safety measures and places of worship opened to public with strict guidelines.

• UL2 (from July 01): Further relaxations from UL1 conditions, while restrictions continued with respect to functioning of educational institutions, large commercial activities, cinema halls, large social and political gatherings and international air travel except of repatriation.

Sampling location, measurements and data

The experimental data used in this study are obtained from the Challakere Climate Observatory (CCO, 14.41°N, 76.56°E, 600 m amsl) located well inside the vast second campus of the Indian Institute of Science (IISc) at Challakere (CHK) in Chitradurga district of northern Karnataka; about 230 km northwest of Bengaluru (Figure 1). The general geography of CHK comprises a flat semi-arid terrain. It is a village with an area of 31 km² in the Chitradurga district (7700 km²). The CCO along with its background is also shown in Figure 1. It is located about 10 km away from the village centre and 20 km away from the state highway with no conspicuous human activities in the near vicinity. Though there are a few industries in Chitradurga district, they are located >30 km away from CHK. The campuses of IISc and other institutions such as DRDO, ISRO and BARC occupy a major part of CHK.

Continuous measurements of black carbon (BC) and scattering coefficients of composite aerosols (both near the surface) are being made from CCO (since 2015 for BC and 2018 for scattering coefficients) using dedicated online instruments, which aspirate the ambient air from a height of ~10 m above ground level. An Aethalometer\textsuperscript{11–13} (AE-33 of Magee Scientific) is used to measure near-real-time BC mass concentration at one-minute interval. The instrument uses dual spot technology and measures attenuation of light at 7 different wavelengths (370, 470, 520, 590, 660, 880 and 950 nm), passing through a quartz filter tape, on which the aerosol particles are deposited. The mass concentration is estimated from the incremental attenuation at 880 nm between two successive measurements using the specific mass absorption cross section (MAC) of BC. More details are available in the literature\textsuperscript{14–16}. The measurements are corrected for filter loading\textsuperscript{17} and multiple scattering\textsuperscript{18}. The instrument also provides the concentration of the major constituents of BC in terms of their origin; biomass (BC\textsubscript{wb}) and fossil fuel (BC\textsubscript{ff})\textsuperscript{15}. The concentrations are calculated from the percentages as follows.

\[
BC\textsubscript{wb} = BB \times BC. \quad (1)
\]

\[
BC\textsubscript{ff} = BC - BC\textsubscript{wb}. \quad (2)
\]

Total and back scattering coefficients of composite PM10 aerosols are measured continuously using a 3-wavelength (450, 532, 632 nm) integrating nephelometer\textsuperscript{19} (IN102 from AirPhoton) fitted with a cyclone inlet. The measurements are made over an angular range \(7^\circ\) to \(170^\circ\) and
uses the in-built CR100 clean air reference system for zero calibration, which is done every 15 minutes automatically. The nephelometer measurements suffer from truncation and angular nonidealities errors, which depend on particle size. These are corrected following Anderson20 using Mie theory modelling21. These data until May 2020 are used for this study.

General meteorological conditions

The prevailing meteorological conditions at Challakere are typical to the tropical climate experienced by the peninsular region; being classified into four seasons – Winter – December, January and February, summer – March, April and May, monsoon – June, July, August and September and post-monsoon – October and November. Low easterly/north-easterly winds prevail during winter and early spring, changing over to strong westerlies during monsoon and reverting through post-monsoon season22. Being a semi-arid region in the Deccan Plateau, Chitradurga in general, and CHK, in particular, receives low to very low rainfall with strong annual variations as shown in Figure 2.

Results and discussions

In the following we assess the impact of LD on different aerosol properties by examining their time-series. As the LD extended over a long duration with phased relaxations in-between, it is likely that significant ‘secular variations’ which occur with change in season and the associated changes in synoptic meteorology would be impacting the properties of aerosols. As such, it is essential to examine the climatological features before trying to delineate the impact of the lockdown.

Black carbon aerosols

As we had separated the different components of BC (from fossil fuel and biomass burning) from the Aethalometer data, the impact assessment was carried out separately for these two species. In Figure 3 a, the temporal variations of the climatological mean daily concentrations of BCff (BCff-ref ), obtained by averaging daily data for the period 2015 through 2019 (excluding 2020), are shown for the period January to May by the black line joining the black spheres. Here the spheres represent the climatological daily mean values of BCff and the line represents 5-point running smoothed variations. The vertical lines through the points represent the standard deviations of the mean (standard error). This serves as a reference or control data against the variation of the daily mean values of BCff for the same period of 2020 are compared. These are shown by the red line through the red spheres and line have the same meaning as for the black coloured ones.

To examine the deviation of the year 2020 from the control/reference pattern for CHK, we have estimated the difference $\Delta_{BCff}$ between the reference values and the corresponding values for 2020 and their standard errors $\varepsilon_{\text{diff}}$ as

$$\Delta_{BCff} = BC_{ff-ref} - BC_{ff-2020},$$

$$\varepsilon_{\text{diff}} = \sqrt{\varepsilon_{1}^2 N_{1} + \varepsilon_{2}^2 N_{2}},$$

where $\varepsilon_{1}$ and $\varepsilon_{2}$ are the standard errors of the daily mean concentration of (BCff-ref) and (BCff-2020) respectively. $N_{1}$ and $N_{2}$ are the number of data points. The time series of the daily mean values of $\Delta_{BCff}$ is shown in Figure 3 b along with the 5-point running mean smoothed curve. The figure reveals the following:

- In general, BCff executes a ‘secular variation’ starting from a moderately high value in January (when BCff-ref stays around 1.73 $\mu$g m$^{-3}$) and start decreasing steadily from mid-February to reach low values of ~0.8 $\mu$g m$^{-3}$ by end of May.
- In 2020 also, the variations are much similar to the above pattern, as seen from the red line; however, there are some conspicuous perturbations during the LD, especially during LD1.
- These changes are shown clearly in Figure 3 b. A small, yet definite, positive peak, showing a small reduction in the fossil fuel related BC, occurs during the LD1 phase and just prior to it when there were local restrictions imposed on general road traffic since March 15. However, these subsided by the end of LD1 phase and from LD2 onwards the difference from the control data is not significant at all.
- Summarily, the effect of LD was negligible in CHK and whatever little observed was confined only to the
LD1 phase. This is in sharp contrast to what was seen in the urban region Bengaluru (where a 60% decrease in BCff concentration is noted\textsuperscript{23}).

The biomass burning component of BC (BCwb) did not show any perceptible impact of the lockdown in any of its phases as seen from Figure 4; where the time series for the reference period and 2020 are shown in Figure 4\textsuperscript{b} and the decrease in 2020 with respect to Figure 4\textsuperscript{b}, following the same conventions as in Figure 3. A minor increase in concentration seen during the LD2 phase has been attributed to isolated burning of litter and dry
vegetation in the vicinity by the local population. Though these activities were sighted by the observers in the station, the exact quantum of burning could not be assessed.

Summarily, Figures 3 and 4 together show that there was no perceptible impact of the lockdown on the BC concentration at CHK, except for a small decrease in the fossil fuel linked BC during the total lockdown phase (LD1) due to complete stopping of vehicular traffic. Rather, the temporal variations at this location are more controlled by the local meteorology as seen for the control period.

**BC spectral absorption characteristics**

To understand how the above changes impacted the spectral absorption properties of BC, if any, we estimated the absorption Angstrom exponent by evolving a least squares fit in a log-log scale to spectral absorption coefficient ($\beta_{abs}$) estimated from the Aethalometer measurements for the 7 wavelengths (370 to 950 nm) to the Angstrom relation

$$\beta_{abs}(\lambda) = \lambda^{-\alpha_{abs}},$$

where $\alpha_{abs}$ is the Absorption Angstrom exponent. Kirchstetter et al.\(^{14}\) have shown that $\alpha_{abs}$ value close to 2 shows strong influence of emissions from biomass burning to the total BC, while $\alpha_{abs}$ close to 1 indicates near total dominance of fresh diesel emissions.

Figure 5 a and b shows the weekly averaged values $\alpha_{abs}$ and the biomass percentage share of burning component to total BC (BB) for reference (black colour) and 2020 (red colour). It shows the following:

- In general, $\alpha_{abs}$ remains above 1.2 at CHK, implying mixed BC with strong signatures of biomass burning/aged fossil fuel BC.
- From 14 March, when the local activities were restricted prior to the LD1 phase, $\alpha_{abs}$ started increasing (showing decreasing BCff/increasing share of BB) and reached the peak value of ~1.4 during the LD1 phase when BB(%) also reached the peak of ~35%.
- During the subsequent weeks, $\alpha_{abs}$ decreased gradually as BB(%) also decreased consequent to the relaxation in LD and increase in vehicular traffic.
- Figure 5 c shows difference of $\alpha_{abs}$ and BB(%) from reference and 2020 observations calculated using equations (3) and (4) which shows that differences are close to zero apart from small variations.

**Scattering properties of composite aerosols**

Total and back scattering coefficients ($\beta_{st}$ and $\beta_{sb}$) measured by the nephelometer at 450, 532 and 632 nm are interpolated/extrapolated to 450, 550 and 700 nm wavelengths (for estimating the single scattering albedo by combining them with the absorption data) using the wavelength dependence deduced from the same data and
the variations of these are shown in Figure 6. The important features in the figure are:

1. The sudden reduction in the magnitude of scattering coefficients from the pre-LD period during the advent of summer due to meteorological conditions and impact of lockdown is negligible.
2. Daily variations of scattering coefficients show high values in winter season and with advent of summer concentration drastically reduced due to prevailing meteorological conditions.
3. Scattering coefficients values for the control period are more or less the same as those reported by Anand\textsuperscript{22} for the normal period and show very little changes due to lockdown.
4. Apart from day to day fluctuations, scattering coefficients continue to decrease further similar to absorbing aerosols starting from summer season till end of May during different lockdown phases, which is due to large reduction in aerosols source strength associated with seasonal changes.

Due to reduction in anthropogenic pollution, size spectrum of aerosols undergoes changes which is examined by plotting the Angstrom exponent due to scattering aerosols $\alpha_{sca}$ (which is calculated using eq. (6) from wavelength 450 to 700 nm by plotting $\beta_{sca}$ in a regression line using a log–log scale). Figure 7 shows weekly variations of $\alpha_{sca}$ (a) and back scatter fraction ($b = \beta_{sb}/\beta_{st}$) (b) for reference (black) and 2020 (red). Both these show an increasing trend for the entire study period with weekly fluctuations due to different source strengths. $\alpha_{sca}$ saw a marginal increase from 1.6 to 1.8 from winter to summer, implying dominance of sub-micron sized particles and did not witness any significant changes during the LD phases.

$$\beta_{sca}(\lambda) \propto \lambda^{-\alpha_{sca}}.$$ \hspace{1cm} (6)

An interesting observation is that $\alpha_{sca}$ reduced up to ~60% on third week of May, owing to extensive rainfall received during this period\textsuperscript{23}.

Backscatter ratio, an indicator of fine mode aerosols, varies in line with $\alpha_{sca}$ which further substantiates the reduction in coarse mode particles. From general Mie theory of light scattering with different shapes, it is clear that increase in back-scatter ratio is indicative of increase in finer mode particles. Figure 7 reveals that the shift in size spectrum from fine mode to accumulation mode is mainly due to seasonal changes and effect of LD is negligible, extensive rainfall is the main reason for significant reduction in the effective radius.

**Intensive properties of aerosols**

Radiative forcing of aerosols depends on several important parameters such as single scattering Albedo (SSA) and asymmetry parameters ($g$), which contribute significantly to forcing\textsuperscript{24}. SSA is estimated from simultaneous measurements of scattering and absorption aerosols by the following equation

$$\text{SSA}(\lambda) = \frac{\beta_{st}(\lambda)}{\beta_{st}(\lambda) + \sigma_{abs}(\lambda)},$$ \hspace{1cm} (7)

where $\beta_{st}$ and $\sigma_{abs}$ are total scattering and absorption coefficient calculated for wavelength 550 nm. Magnitude of
SSA can be considered as an index of relative type of scattering and absorbing aerosols whose values range from 0 (purely absorbing) to 1 (purely scattering). It can be seen from Figure 8 that SSA did not show any preeminent changes due to lockdown phases and maintained its level with a mean value of 0.72 until the beginning of LD4 phase where SSA saw a sudden jump from 0.72 to 0.8, this unprecedented rise is due to high precipitation.

Asymmetry parameter ($g$), which is defined as the cosine-weighted average of the phase function and representation of angular scattering properties, is calculated (Figure 8) from the following equation using nephelometer scattering coefficient Wiscombe and Grams $^{25}$; Andrews $^{26}$.

\[
g = -7.143 \times b^3 + 7.464 \times b^2 - 3.963 \times b + 0.9893. \quad (8)
\]

It depends on size and composition of the particles. The value of $g$ ranges between $-1$ for entirely back scattered light and to $+1$ for forward scattered light. There is a continuous decreasing trend observed starting from first week to last week of May which is mainly due to an increase in spectral dependence of scattering coefficients which correspond to a decrease in fine mode particles associated with seasonal changes.

Table 1 lists some of the important parameters at both these locations during different phases of the lockdown. The values for Bengaluru are taken from Ajay et al. (this issue). It is interesting to note that while Bengaluru
recorded a 60% reduction in BC from fossil fuel emissions and a >20% reduction in total scattering coefficient with associated reduction in SSA and increase in absorption and scattering Angstrom exponents from pre-LD to LD1, CHK remained almost isolated from such large changes; rather the aerosol properties in CHK have been controlled by the changes in the prevailing meteorology. This study clearly establishes that emissions associated with large-scale anthropogenic activities (especially from automobile and urban activities) are chiefly responsible for the deterioration of air quality and increased pollution levels in urban environments. Further, it also emerges that the environment quickly responds to any cessation of such activities, even for periods as short as two weeks, with the environmental parameters over the urban area tending close to those seen over rural areas.

Conclusions

This study using ground-based measurement data has shown that:

(1) At the rural location Challakere, the impacts of the restrictions imposed by the LD have been very marginal; both on carbohydrate aerosols (from biomass burning and fossil fuel combustion) as well as on composite aerosols, and even these were perceptible only during the complete lockdown phase (LD1).

(2) The optical properties of aerosols, important from the radiative forcing perspective, such as the SSA and asymmetry parameters did not show any impact of the lockdown.

(3) This was in sharp contrast to the pattern seen over the urban region of Bengaluru, located nearby, where the LD produced large impacts in terms of reduction in aerosol loading and increase in SSA.

(4) The site CHK almost retains its rural characteristics reported\(^2\) way back in 2013.

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